



2-12-04

1713 \$

PATENT
00819

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 1713 :
Examiner: Harlan :
In re application of : A CATALYST SYSTEM FOR
Matyjaszewski et al. : CONTROLLED
: POLYMERIZATION
Serial No.: 09/972,056 :
Filed: October 5, 2001 :

INFORMATION DISCLOSURE STATEMENT

February 11, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

Applicants, in accordance with the duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying Form PTO/SB/08 *Information Disclosure Statement by Applicant* (substitute for Form 1449A/PTO). A copy of each of the cited references is herewith enclosed.

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Date of Deposit February 11, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Patricia A. Mack

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PI-1117780 v1 0201710-0702

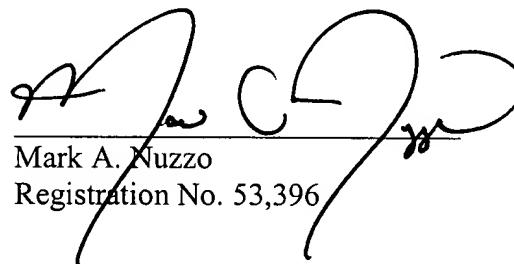


Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement by Applicant* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Applicants further note that the filing of this *Information Disclosure Statement by Applicant* is not an admission that the references cited herein constitute prior art under 35 U.S.C. §§ 102-103 with respect to the captioned application.

Pursuant to 37 C.F.R. § 1.97(c)(2), Applicants have included payment of the fee set forth in 37 C.F.R. § 1.17(p). Also, the Commissioner is hereby authorized to charge any additionally required fees deemed necessary for consideration of this *Information Disclosure Statement by Applicant* to Account No. 11-1110.

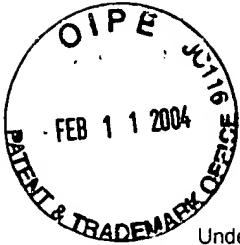
Respectfully submitted,



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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/972,056
				Filing Date	October 5, 2001
				First Named Inventor	Matyjaszewski
				Art Unit	1713
				Examiner Name	Harlan
<i>(use as many sheets as necessary)</i>					
Sheet	1	of	5	Attorney Docket Number	

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		3,183,217	05/11/1965	Serniuk, et al.	
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FOREIGN PATENT DOCUMENTS

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NON PATENT LITERATURE DOCUMENTS				
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		United States Patent application No 10/269,556 filed October 11, 2002. Attorney Docket No. 010686		T ²
		United States Patent application No. 09/359,359 filed July 23, 1999. Attorney Docket No. 00169DIV3		
		United States Patent application No. 09/534,827 filed March 23, 2000 Attorney Docket No. 00093		
		United States Patent application No. 10/034,908 filed December 21, 2001. Attorney Docket No. 001153		
		United States Patent application No. 10/118,519 filed April 6, 2002. Attorney Docket No. 010314		
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NON PATENT LITERATURE DOCUMENTS				
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		GROMADA, J.; MATYJASZEWSKI, K. Macromolecules 2001, 34, 7664-7671.		T ²
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		SIMION COCA, CHRISTINA B. JASIECZEK, KATHRYN L. BEERS AND KRZYSZTOF MATYJASZEWSKI, "Polymerization of Acrylates by Atom Transfer Radical Polymerization. Homopolymerization of 2-Hydroxyethyl Acrylate", Journal of Polymer Science, 1998, pp.1417-1424, Vol. 36, Part A: Polymer Chemistry.		
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